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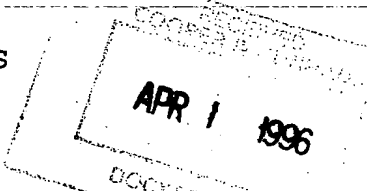
MARCH 25, 1996

PTAS



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JOHN P. WHITE
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NEW YORK, NY 10036



UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 11/09/1995

REEL/FRAME: 7707/0968
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
LIVINGSTON, PHILIP O.

DOC DATE: 06/30/1995

ASSIGNOR:
HELLING, FRIEDHELM

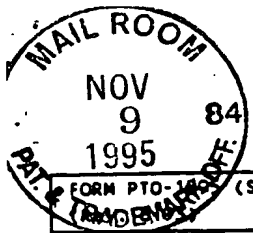
DOC DATE: 07/25/1995

ASSIGNEE:
SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH
1275 YORK AVENUE
NEW YORK, NEW YORK 10021

SERIAL NUMBER: 08477097
PATENT NUMBER:

FILING DATE: 06/07/1995
ISSUE DATE:

JERYL MCDOWELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



12-01-1995

Docket No. 43016-B



100098576

U.S. DEPARTMENT OF COMMERCE
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FORM PTO-100 (Substitute)

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Philip O. Livingston and Friedhelm Helling

2. Name and address of receiving party(ies):

Sloan-Kettering Institute for
Name: Cancer Research

Internal Address: _____

Street Address: 1275 York Avenue

City/State/Zip: New York, New York 10021

Additional name(s) & address(es) attached?

☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: July 25, 1995 and June 30, 1995

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

Serial No. 08/477,097 filed June 7, 1995

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White

Internal Address: _____

Street Address: Cooper & Dunham LLP

1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Albert Wai-Kit Chan

Name of Person Signing

Albert Wai Kit Chan

Signature

11/7/95

Date

Total number of pages including cover sheet, attachments and document: 4

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Albert Wai Kit Chan 11/7/95
Albert Wai-Kit Chan Date
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OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,

Philip O. Livingston and Friedhelm Helling residing at 156 East 79th Street, New York, New York, 10021 and 504 East 63rd Street, Apartment 25N, New York, New York 10021 respectively,

Hereby sell, assign and transfer to Sloan-Kettering Institute for Cancer Research

New York

having a place of business at

in the County of New York

a corporation of the State of
1275 York Avenue

and State of New York

its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned on 6/30/95 and 7/25/95 and is entitled

GANGLIOSIDE-KLH CONJUGATE VACCINE PLUS QS-21

(U.S. Serial No. 08/477,097, filed June 7, 1995, a continuation of PCT International Application No. PCT/US94/00757, filed January 21, 1994, which is a continuation of U.S. Serial No. 08/009,268, filed January 22, 1993)

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 6/30/95 19 Philip Livingston [L.S.]
Philip O. Livingston

Witness: Vera Hinck
Vera Hinck
45 Brooklyn Avenue
West Hempstead NY 11550

Date: _____ 19 Friedhelm Helling [L.S.]

Witness: _____

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: _____ 19

Witness: _____

Date: 7.25. 19 95

Witness: Monica Boechia

MONICA BOECHIA

504 E 63rd STREET APT 25N

NEW YORK, NY 10021

Philip O. Livingston

[L.S.]

Friedhelm Helling

Friedhelm Helling

[L.S.]

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WILLIAM D. LILLINGTON AND NICHOLAS
BELLING

TO

ALBERT EINSTEIN FOUNDATION FOR
CANCER RESEARCH

Application for membership
of the United States
CANCER RESEARCH SOCIETY
PRUS 08-21

Attorney for JOHN A. LILLING

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116 Avenue of the Americas

NEW YORK, N.Y. 10038

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